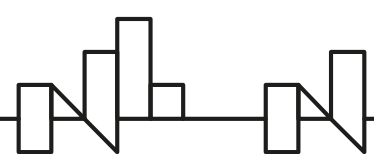


Reporting on achievements in EEA and Norway Grants 2014-2021

Overview

1. FMO reporting framework:
 - Annual Programme Report;
 - Interim Financial Report
2. Data collection from projects
3. Core indicator on CO₂ reduction



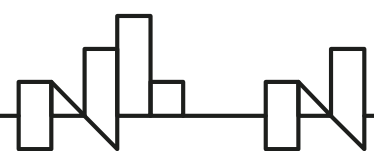
Results-based management

Two-fold purpose:

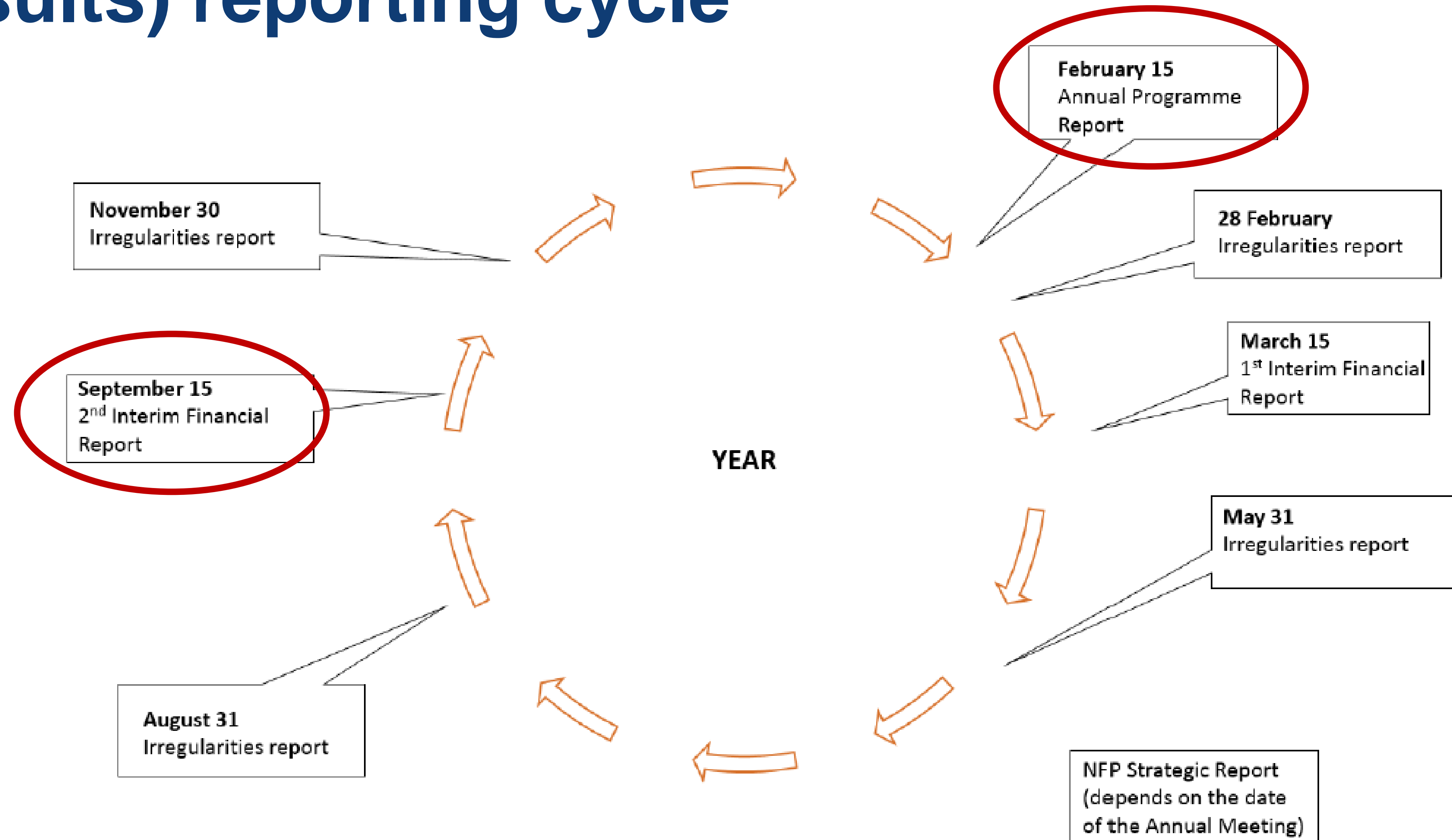
LEARNING & ACCOUNTABILITY

What difference are the programmes making?

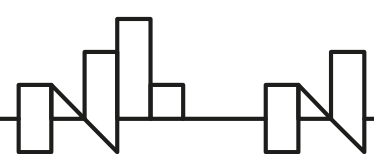
For whom? How?



(Results) reporting cycle



Source: Results Guideline, p. 40.



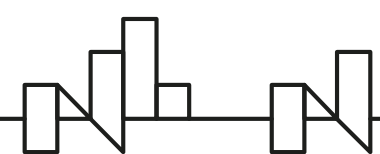
(Results) reporting vehicles

Annual Programme Report (APR) –
February 15

September Interim Financial Report (IFR) –
September 15

Final Programme Report (FPR)

Project-level information



Annual Programme Report

When?

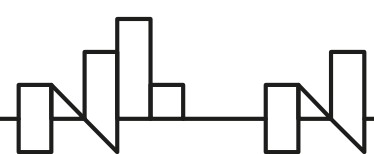
- 15 February (covering previous calendar year)

What?

- Achievements
- Implementation; lessons learned; risk; communication, etc.

How?

- Through Grace – electronically; but not in 2019

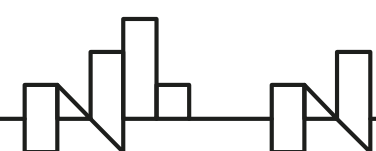


Annual Programme Report

Staggered reporting approach: relevant to implementation stage

Reference period: calendar year

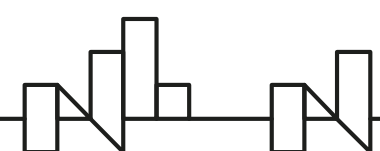
Structured data	Narrative report
<ul style="list-style-type: none">• Indicator achievements – including bilateral (<i>cumulative</i>)• Overview of contracted projects• Risk management plan/report	<ul style="list-style-type: none">• Programme context• Analysis of achievements – including bilateral• Learning: monitoring; evaluation; lessons learned



Achievements –structured data

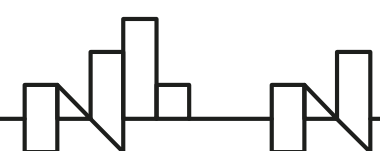
White cells are prepopulated; blue cells are editable

	Expected programme results	Indicators	Baseline values	Achievements previously reported	Achievements until end December 20xx	Target values
	Programme objective					
Outcome 1					# = cumulative	
Output 1.1						
Output 1.x						
Outcome 2						
Output 2.1						
Output 2.x						
Outcome N						
Bilateral outcome						
Bilateral output 1						
Bilateral output x						



Risk assessment and response plan

Programmatic risks									
Risk description	Risk related to	Likelihood	Consequence	Risk score	Response type	Description of planned response	Description of actual response	Comments	Risk N/A?
									delete
									delete
									delete
+									
+									
Operational risks									
Risk description	Risk related to	Likelihood	Consequence	Risk score	Response type	Description of planned response	Description of actual response	Comments	Risk N/A?
									delete
									delete
									delete
+									
+									
OVERALL RISK OF THE PROGRAMME		Likelihood	Consequence	Risk score					



September Interim Financial Report

When?

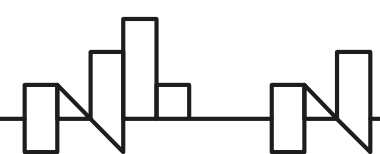
- 15 September (covering January-June)

What?

- Achievements on select indicators
- Milestones

How?

- Through Grace - electronically

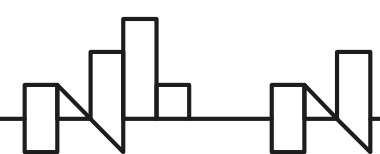


September Interim Financial Report

Reference period: January 1 through June 30

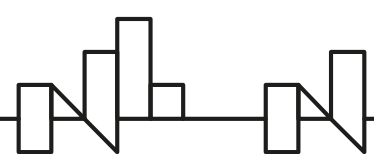
Structured data

- Indicator achievements where frequency is set to SEMI-ANNUAL
- Milestones – achieved or not (YES/NO); expected date of achievement



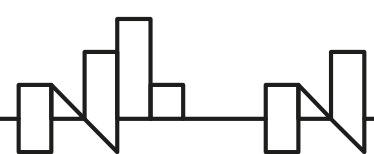
Milestones

	Milestone	Milestone achieved? (yes/no)	If yes, date of achievement	If no, expected date of achievement	Comments
1	Call 1 completed and all project contracts signed	Yes	05/06/2018	-	The first call resulted in 5 projects
2	Permit obtained for the construction of xxx	No	-	06/09/2018	
3			dd/mm/yyyy	dd/mm/yyyy	
x			dd/mm/yyyy	dd/mm/yyyy	



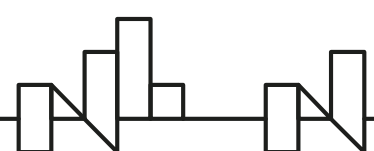
Project-level information

- Project level information (PLI) template: under development → in Grace in mid-2019
- Number of variables reduced by around 50%
- PA11, PA12, PA13: data on contracted (expected) values for CO₂ reduction



Core indicators in PA11, PA12, PA13

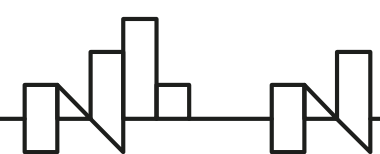
Core level	Core Indicator	Aggregate Target value
Outcome	Estimated annual CO2 emissions reductions	397,530
	Number of beneficiaries of services provided or improved	15,000
	Number of jobs created	102
	Number of registered applications for Intellectual Property Protection	0
	Number of new products/technologies developed	0



Data collection from projects

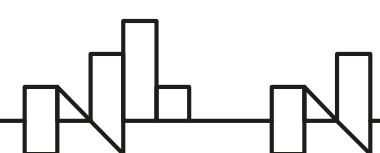
*The Programme/Fund Operator shall establish a system for reporting by project promoters -- progress towards the **programme results, as well as statistical and financial information.***

- Calls must include (programme) results framework
- Project contract must include all relevant programme indicators
- PO/FO aggregates project results per indicator and reports to FMO
- Indicators with PERCENTAGE unit of measurement: need numerator and denominator from each relevant project



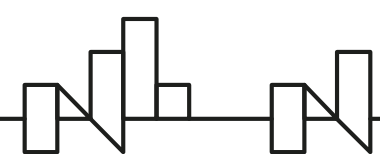
How to aggregate achievements from projects

		2019					2020				
Indicator ↓	Unit of measurement	Projects report to PO/FO				PO/FO reports to FMO	Projects report to PO/FO				PO/FO reports to FMO
		P0001	P0002	P0004	P0005	Total	P0001	P0002	P0004	P0005	Total
Number of beneficiaries of services provided	<i>Number</i>	1300	2300	0	1000	= 4600	1500	2100	580	1050	= 9830
Estimated annual CO2 emissions reductions	<i>Annual number</i>	0	0	5000	0	= 5000	0	10000	5000	0	= 15000
Share of waste recycled	Numerator	600	0	20000	0	= 20600	800	0	30000	0	= 30800
	Denominator	2000	0	40000	0	= 42000	2000	0	50000	0	= 52000
	<i>Percentage</i>	30	0	50	0	49	40	0	60	0	59.23



Estimated annual CO₂ emissions reduction

Estimated annual reduction in greenhouse gas emissions (CO₂ and CO₂ equivalents of other greenhouse gases) due to EEA and Norway Grants 2014-2021 support.



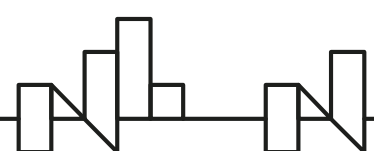
Calculating CO₂ emission reduction

Renewable energy projects: amount of primary energy produced by supported facilities

Energy efficiency projects: amount of primary energy saved in a given year as a result of the project.

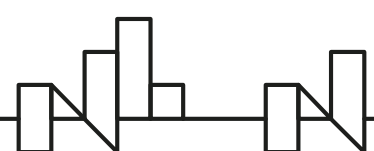
Other: e.g. ecosystems restoration, climate change mitigation in urban areas; TBD for each programme individually based on deliverables and available data

Target (at programme level): sum of estimated annual emissions reductions from supported projects in the last year of programme implementation.

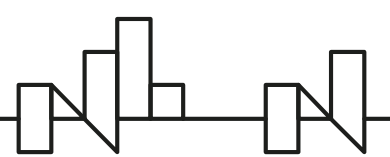


Core bilateral outcome indicators

- FMO to collect the 3 mandatory bilateral outcome indicators via a survey for project promoters and donor project partners:
 - Level of trust between cooperating entities involved in the programme
 - Level of satisfaction between cooperating entities involved in the programme
 - Share of cooperating organizations applying knowledge gained through partnership
- PO/FO still need to analyze the achievements and report them
- PO/FO collect all other bilateral indicators



Questions?

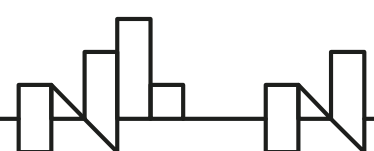


Register data for each project in PLI's

For core indicator "**Estimated annual CO2 emissions reductions**"

- Information to be added in PLI when project is *contracted*
- Information to be updated in PLI once project is *completed = achieved results*
- Verification of results post-completion (e.g. 1-3 years after): depends on project and programmes, but should generally be performed where time (by April 2024) and resources allow

-- Template/guidance under development and will be provided at a later stage --



PLI's cont.: Core indicator on CO₂-emissions

- The estimated CO₂ emissions avoided/reduced *must* be included for each project
- C.f. Core Indicator Guidance:
 - Flexible depending on what data would be available from promoters and types of projects:
 - *“The estimated values are based on Project Promoters’ reports/energy audits/other credible sources”*
 - *“Documentation such as an energy audit or an energy certificate justifying the proposed measures, including estimated renewable energy production and/or energy savings and corresponding CO₂ emission reductions will, unless otherwise agreed in the programme agreement, be required **when submitting the project proposal.**”*

